

LYON & LYON LLP
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FAXED
 12-13-01

FACSIMILE TRANSMITTAL FORM

To: Diana Johannsen	Fax Number: 703-746-5064	Phone Number: 703-305-0761
From: Paul Veravanich	Fax Number: 949-567-6600	Phone Number: 949-567-2300
Re: RCE in response to 6/20/01 office action	Date/Time sent: 12/13/01 10:02 AM	No. of Pages: 5 (incl. cover)
Client Name: Dr. Paddy Jim Baggot	Client Matter No.: Application No. 09/499,006 Docket No. 249/127	

If you do not receive all of the pages, please call Adriana Mojorro at (949) 567-2300, extension 1104.

Notes/Comments:

Diana -

Attached is the remainder of what we filed on November 9, 2001. Please feel free to contact me if you have any further questions or concerns.

Sincerely,

Paul Veravanich

TO BE COMPLETED BY FAX OPERATOR

TIME TRANSMITTED: _____ TRANSMITTED BY: _____

This transmittal is intended only for the use of the individual or entity to which it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader of this transmittal is not the intended recipient or the employee or agent responsible for delivering the transmittal to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone, and return the original message to us by mail at the above address. Thank you.

#9
D33
12/13/01

**REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

<i>DPS</i>	Application Number	09/499,006
	Filing Date	February 4, 2000
	First Named Inventor	Dr. Paddy Jim Baggot
	Group Art Unit	1655
	Examiner Name	D. Johannsen
	Attorney Docket Number	249/127

This is a Request for continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective May 29, 2000. If the above-identified was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patented term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

a. Previously submitted

- i. Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
- Any unentered amendment(s) referred to above will be entered.
- ii. Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. Other _____

b. Enclosed

- i. Amendment/Reply
- ii. Affidavit(s)/Declarations(s)
- iii. Information Disclosure Statement (IDS)
- iv. Other _____

2. Miscellaneous

a. Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)

b. Other _____

3. Fees The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

a. The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 12-2475

- i. RCE fee required under 37 C.F.R. § 1.17(e)
- ii. Extension of time fee (37 C.F.R. §§ 1.136 and 1.17); if any extension of time is required, please consider this a request therefore.
- iii. Other: Any fee under \$1.15 or _____

b. Check in the amount of \$ 570.00 enclosed

c. Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Polaphat Veravanich	Registration No. (Attorney/Agent)	45,179
Signature	<u>Paul Dean</u>	Date	November 9, 2001

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	Adriana Mojarrro	Date	November 9, 2001
Signature	<u>Adriana Mojarrro</u>		

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 570)

Complete If Known

Application Number	09/499,006
Filing Date	February 4, 2000
First Named Inventor	Dr. Paddy Jim Baggot
Examiner Name	D. Johannsen
Group / Art Unit	1655
Attorney Docket No.	249/127

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES				
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	Surcharge - late filing fee or oath	
127	50	227	Surcharge - late provisional filing fee or cover sheet	
139	130	139	Non-English specification	
147	2,520	147	For filing a request for reexamination	
112	920*	112	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	Requesting publication of SIR after Examiner action	
115	110	215	Extension for reply within first month	
116	400	216	Extension for reply within second month	200
117	920	217	Extension for reply within third month	
118	1,440	218	Extension for reply within fourth month	
128	1,980	228	Extension for reply within fifth month	
119	320	219	Notice of Appeal	
120	320	220	Filing a brief in support of an appeal	
121	280	221	Request for oral hearing	
138	1,510	138	Petition to Institute a public use proceeding	
140	110	240	Petition to revive - unavoidable	
141	1,280	241	Petition to revive - unintentional	
142	1,280	242	Utility issue fee (or reissue)	
143	460	243	Design issue fee	
144	620	244	Plant issue fee	
122	130	122	Petitions to the Commissioner	
123	50	123	Processing fee under 37 CFR 1.17 (d)	
126	180	126	Submission of Information Disclosure Stmt	
581	40	581	Recording each patent assignment per property (times number of properties)	
145	740	246	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	278	Request for Continued Examination (RCE)	370
169	900	169	Request for expedited examination of a design application	
Other fee (specify)				
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 570)

** or number previously paid, if greater; For Reissues, see above

Complete (if applicable)					
SUBMITTED BY					
Name (Print/Type)	Polaphat Veravanich	Registration No. Attorney/Agent)	45,179	Telephone	(949) 567-2300
Signature	<i>Paul Deenil</i>			Date	November 9, 2001

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LYON & LYON LLP**A LIMITED LIABILITY PARTNERSHIP
INCLUDING PROFESSIONAL
CORPORATIONS**

DOCKET NO. 249/127
 SERIAL NO. 09/499,006
 APPLICANT Dr. Paddy Jim Baggot

PAY**--FIVE HUNDRED SEVENTY DOLLARS EXACTLY--**

TO THE
 ORDER
 OF
 COMMISSIONER OF PATENTS AND TRADEMARKS

UNION BANK OF CALIFORNIA
LOS ANGELES, CA 90071

1649

1220

No.3599

EXPLANATION	AMOUNT
RCE Fee	\$ 370.00
2-mth Ext. Fee	\$ 200.00

DATE Nov. 9, 2001

\$ 570.00

003599 1122000496 0320020271

WARNING: Original Document Has An Artificial Watermark On Reverse Side.

**LYON & LYON LLP
IRVINE, CALIFORNIA**
REMITTANCE ADVICE
 PLEASE DETACH BEFORE
 DEPOSITING CHECK
TO COST OF**RCE Fee & Two-month Extension Fee**

Please acknowledge receipt of the following by affixing hereon the Patent and Trademark Office date stamp and returning this card to our office.

Applicant: Dr. Paddy Jim Baggot
Serial No.: 09/499,006
Filed: February 4, 2000
For: TREATMENT OF CHROMOSOMAL ABNORMALITIES IN FETUSES THROUGH A COMPREHENSIVE METABOLIC ANALYSIS OF AMNIOTIC FLUID

Attorney(s): Polaphat Veravanich
Docket No.: 249/127
Date of Deposit: November 9, 2001
Enclosure(s): Fee Transmittal; RCE Transmittal; Amendment; Check No. 3599 in the amount of \$570.00; Return Postcard

First Class Mail